



Attorney's Docket No. 80398.P563

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)	
)	
Jeongnam Youn)	Examiner: Shortledge, T.
)	
Application No.: 10/674,982)	Art Unit: 2626
)	
Filed: September 29, 2003)	
)	
For: A Method for Grouping Short)	
Windows in Audio Encoding)	

P.O. Box 1450		
Alexandria, VA 22313-1450		

INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on 11/2/06.

(Date of Deposit)

Cheri Clinkenbeard

(Typed or printed name of person mailing correspondence)

C Clinkenbeard

(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- ☐ 37 C.F.R. §1.97(b).
- ☒ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
- ☒ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.


Some of the references cited in the accompanying PTO-1449 are from International Search Report for No. PCT/US04/31471 and is attached herewith

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Nov. 2, 2006



Sheryl Sue Holloway
Reg. No. 37,850

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/674,982
Filing Date	September 29, 2003
First Named Inventor:	Jeongnam Youn
Art Unit	2626
Examiner Name	Shortledge, T.
Attorney Docket Number	080398.P563

Sheet 1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	4,964,113	10-16-1990	Geyer, et al.	
		US-	5,497,435	03-05-1996	Marc A. Berger	
		US-	5,596,676	01-21-1997	Swaminathan, et al.	
		US-	5,636,324	06-03-1997	Teh, Do-Hui, et al.	
		US-	5,657,454	08-12-1997	Benbassat, et al.	
		US-	5,703,579	12-30-1997	Nonaka, et al.	
		US-	5,729,556	03-17-1998	Benbassat, et al.	
		US-	5,748,763	05-05-1998	Geoffrey B. Rhoads	
		US-	5,758,315	05-26-1998	Masahito Mori	
		US-	5,777,812	07-07-1998	Jae-hyun Kim	
		US-	5,864,802	01-26-1999	Do-hyung Kim	
		US-	5,893,066	04-06-1999	Kicheon Hong	
		US-	5,946,652	08-31-1999	Robert Heddle	
		US-	5,956,674	09-21-1999	Smyth, et al.	
		US-	5,982,935	11-09-1999	Ygal Arbel	
		US-	5,999,899	12-07-1999	Anthony John Robinson	
		US-	6,108,622	08-22-2000	Ning Xue	
		US-	6,173,024	01-09-2001	Nanba, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
Examiner Signature						Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

(use as many sheets as necessary)

Complete if Known

Application Number	10/674,982
Filing Date	September 29, 2003
First Named Inventor:	Jeongnam Youn
Art Unit	2626
Examiner Name	Shortledge, T.
Attorney Docket Number	080398.P563

Sheet	2	080398.P564
-------	---	-------------

3

Attorney Docket Number	080398.P563
------------------------	-------------

[illegible]

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/674,982
Filing Date	September 29, 2003
First Named Inventor:	Jeongnam Youn
Art Unit	2626
Examiner Name	Shortledge, T.
Attorney Docket Number	080398.P563

Sheet	3	of	3
-------	---	----	---

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		POONDIKULAM L.S., ET AL., "Efficient Implementation Of Transform Based Audio Coders Using SIMD Paradigm and Multifunction Computations", Sasken Communications Technologies, Limited, Bangalore, India, Available: http://www.sasken.com/others/wpapers/paper_0007.pdf , 5 pages.	
		WANG, Y., ET AL., "An Excitation Level Based Psychoacoustic Model For Audio Compression", Nokia Research Center, Speech and Audio Systems Lab, Tampere Finland, Downloaded 5/29/03, Available: http://www.kom.e-technik.tu-darmstadt.de/acmmm99ep/wang .	
Examiner Signature			Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.